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396.46365X00 Or Fax (571) 273-2885 INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks I through 5 should be completed where appropriate. All Further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as Indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for Maintenance fee notifications Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) 10/17/2008 Transmittal. This certificate cannot be used for any other accompanying Papers. 020457 7590 Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. ANTONELLI, TERRY STOUT & KRAUS, LLP Certificate of Mailing or Transmission 1300 NORTH SEVENTEENTH STREET I hereby certify that this Fee(s) Transmittal is being deposited with the United States **SUITE 1800** Postal Service with sufficient postage for first class mail in an envelope Addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the ARLINGTON, VA 22209-3873 USPTO (571) 273-2885, on the date indicated below. (Depositor's name) (Signature) (Date) CONFIRMATION NO. ATTORNEY DOCKET NO. APPLICATION NO. FILING DATE FIRST NAMED INVENTOR 396.46365X00 7267 10/587,170 7/25/2006 Nobuo Miyadera TITLE OF INVENTION: Curved Optical Waveguide and Optical Device PREV. PAID ISSUE FEE TOTAL FEE (S) DUE DATE DUE APPL. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE \$300 \$1810 01/20/2009 NO \$1510 Nonprovisional **ART UNIT CLASS-SUBCLASS** EXAMINER 385-129000 CONNELLY CUSHWA, MICHELLE R 2874 1. Change of correspondence address or indication of "Fee Address: (37 2. For printing on the patent front page, list CFR 1.363). (1) the names of up to 3 registered patent attorneys 1 ANTONELLI, TERRY, STOUT П Change of correspondence address (or Change of Correspondence & KRAUS, LLP. Address form PTO/SB/122 attached. Or agents OR, alternatively, agents OR, alternatively, (2) the name of single firm (having as a "Fee Address" indication (or "Fee Address" Indication form member a registered attorney or agent) PTO/SB/47; Rev 03-02 or more recent) attached. Use of Customer and the names of up to 2 registered patent Number is required attorneys or agents. If no name is listed no name will be printed. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Tokyo, Japan Hitachi Chemical Company, Ltd. Government Please check the appropriate assignee category or categories (will not be printed on the patent): X Corporation or other private group entity Individual 4b. Payment of Fee (s): 4a. The following fee(s) are enclosed: П A check is enclosed M Issue Fee

 $\boxtimes$ Publication Fee (No small entity discount permitted)

 $\boxtimes$ Advance Order- # of Copies 4 Payment by credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any  $\boxtimes$ 

overpayment, to Deposit Account Number  $\underline{01\text{-}2135}$ .

5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

П The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in Interest as shown by the records of the United States patent and Trademark Office.

Authorized Signature

/William I. Solomon/ ⊁₩

Date: January 15, 2009

Typed or printed name William I. Solomon

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and Submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent tot eh Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.